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Attorney Docket No.

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By:

*Meredith A. H. H. H.*

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: FIRLIK ET AL.

APPLICATION No.: 10/072,700

FILED: February 7, 2002

FOR: METHODS AND APPARATUS FOR  
EFFECTUATING A CHANGE IN A  
NEURAL-FUNCTION OF A PATIENT

ART UNIT: UNKNOWN

CONFIRMATION No.: UNKNOWN

3768

L. Park  
5-7-02  
#2 / S.D.S.

**Information Disclosure Statement Within Three Months of  
Application Filing or Before First Action – 37 CFR 1.97(b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 CFR 1.97(b)]. The references and related application listed on the enclosed Form PTO/SB/08A (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

☒ Copies of the following references and related application are enclosed:

- ☒ All cited references
- ☐ References marked by asterisks
- ☐ The following:

☐ Copies of the following references can be found in parent application Ser. No.

- ☐ All cited references
- ☐ References marked by asterisks
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☐ The following references are not in English. For each such reference, the undersigned has enclosed (i) a translation of the reference; (ii) a copy of a

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communication from a foreign patent office or International Searching Authority citing the reference, (iii) a copy of a reference which appears to be an English-language counterpart, or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.

- ☐ All cited references
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3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment

No fees are believed due. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

5. Patent Term Adjustment (37 CFR 1.704(d))

- ☐ The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

Respectfully submitted,



Paul T. Parker

Registration No. 38,264

Date: March 15, 2002

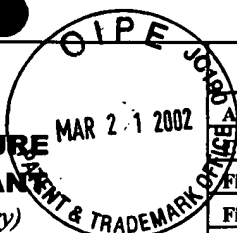
**Correspondence Address:**

Perkins Coie LLP

Customer No. 25096

Phone: (206) 583-8888

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(use as many sheets as necessary)**COMPLETE IF KNOWN**

Application Number	10/072,700
Confirmation Number	Unknown
Filing Date	02/07/02
First Named Inventor	Andrew D. Firlik
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket No.	337348020US4

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of

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**COMPLETE IF KNOWN**

Application Number 10/072,700

Confirmation Number Unknown

Filing Date 02/07/02

First Named Inventor Andrew D. Firlik

Group Art Unit Unknown

Examiner Name Unknown

Attorney Docket No. 337348020US4

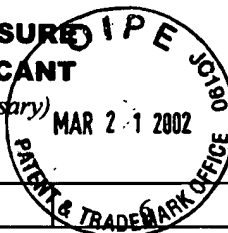
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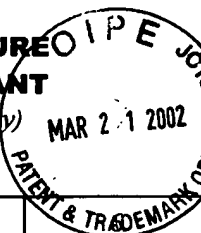
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Group Art Unit Unknown

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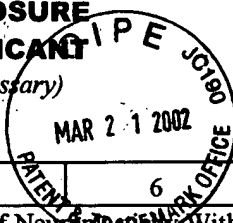
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First Named Inventor Andrew D. Firlik

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EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).